

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Bishop, William M.

Examiner: Leung, Richard L

Serial No.: 10/686,450

Group Art Unit: 3744

Filed: October 15, 2003

Attorney Docket No.: 717664.11

For: METHOD AND APPARATUS FOR

WARMING AND STORAGE OF COLD

FLUIDS

Customer No.: 027128

Confirmation No.: 6862

Last Office Action: June 2, 2004

AMENDMENT A

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Office Action mailed June 2, 2004, and within the fourth month for response thereto and upon payment of the appropriate extension fee, as noted in accompanying Petition For Extension of Time Under 37 C.F.R. §1.136(a), please amend the above-identified application in accordance with the amendments and remarks as set forth herein.

Amendments to the Specification begin on page 2 of this document.

Amendments to the Claims are reflected in the listing of claims which begin on page 3 of this document.

Remarks/Arguments begin on page 6 of this document.

24.04

PTO/SB/21 (02-04)
Approved for use through 7/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 10/686,450 Filing Date October 15, 2003 First Named Inventor Bishop, William M. 3744 **Group Art Unit Examiner Name** Leung, Richard L

Total Number of Pages in This Submission 10 Attorney Docket Number 717664.11

| ENCLOSURES (check all that apply) | | | | | | | |
|---|--|-------------------------|--|--|--|--|--|
| Fee Transmittal Form | Drawing(s) | | After Allowance Communication to Technology Center (TC) | | | | |
| Fee Attached | Licensing-related Papers | | Appeal Communication to Board of Appeals and Interferences | | | | |
| Amendment / Reply | Petition | | Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) | | | | |
| After Final | Petition to Convert to a Provisional Application | | Proprietary Information | | | | |
| Affidavits/declaration(s | Power of Attorney, Revocation Change of Correspondence Address | | Status Letter | | | | |
| Extension of Time Request | Terminal Disclaimer | \boxtimes | Other Enclosure(s) (please identify | | | | |
| Express Abandonment Req | uest Request for Refund | below); return postcard | | | | | |
| Information Disclosure State | ement CD, Number of CD(s) | | | | | | |
| Certified Copy of Priority Document(s) | | | | | | | |
| Response to Missing Parts/ Incomplete Application | Remarks: | Remarks: | | | | | |
| Response to Missing F under 37 CFR 1.52 or | | | | | | | |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | | | | | |
| Firm or Lawrence E. Evans, Reg. No. 29,531 Individual Name Blackwell Sanders Peper Martin LLP | | | | | | | |
| Signature | rence 6. Evans | | | | | | |
| Date Sept. | 23, 2004 | | | | | | |
| | | | | | | | |
| CERTIFICATE OF TRANSMISSION/MAILING | | | | | | | |
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Express Mail Label No.: EV390576915US | | | | | | | |
| Typed or printed name | Typed or printed name Jennifer Christopher | | | | | | |
| Signature Apriler Christopher Date 23 Sept. 04 | | | | | | | |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/17 (01/03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 55.00

| Complete if Known | | | | |
|----------------------|-------------------|---|--|--|
| Application Number | 10/686,450 | _ | | |
| Filing Date | October 15, 2003 | | | |
| First Named Inventor | William M. Bishop | _ | | |
| Examiner Name | Leung, Richard L | _ | | |
| Art Unit | 3744 | | | |
| Attorney Docket No. | 717664.11 | | | |

| METHOD OF PAYMENT (check one) | FEE CALCULATION (continued) | | | | | |
|--|-----------------------------|-----------------------------|-------------|-------------|--|----------|
| Check Credit card Money Other None | 3. ADDITIONAL FEES | | | | | |
| Deposit Account: Order | | Large Entity Small Entity | | | • - | |
| Deposit Account 11-0160 | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
| Number L Deposit | 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | |
| ACCOUNT BLACKWELL SANDERS PEPER MARTIN LLP Name | 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| The Commissioner is authorized to: (check all that apply) | | 130 | 1053 | 130 | Non-English specification | |
| Charge fees indicated below Credit any overpayments | | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | |
| Charge any additional fee(s) during the pendency of this application Charge fee(s) indicated below, except for the filing fee | | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| to the above-identified deposit account. | | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| FEE CALCULATION | 1251 | 110 | 2251 | 55 | Extension for reply within first month | 55.00 |
| 1. BASIC FILING FEE | 1252 | 420 | 2252 | 210 | Extension for reply within second month | |
| Large Entity Small Entity | 1253 | 950 | 2253 | 475 | Extension for reply within third month | |
| Fee Fee Fee <u>Fee Description</u> Fee Paid Code (\$) Code (\$) | 1254 | 1,480 | 2254 | 740 | Extension for reply within fourth month | |
| 1001 770 2001 385 Utility filing fee | 1255 | 2,010 | 1155 | 1,005 | Extension for reply within fifth month | |
| 1002 340 2002 170 Design filing fee | 1401 | 330 | 2401 | 165 | Notice of Appeal | |
| 1003 530 2003 265 Plant filing fee | 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | |
| 1004 770 2004 385 Reissue filing fee | 1403 | 290 | 2403 | 145 | Request for oral hearing | |
| 1005 160 2005 80 Provisional filing fee | 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| SUBTOTAL (1) (\$ | 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE | | 1,330 | 2453 | 665 | Petition to revive - unintentional | |
| Fee from | | 1,330 | 2501 | 665 | Utility issue fee (or reissue) | |
| Extra Claims below Fee Paid Total Claims -20** = X = | 1502 | 480 | 2502 | 240 | Design issue fee | |
| Independent -3** = X = | 1503 | 640 | 2503 | 320 | Plant issue fee | |
| Claims | | 0.0 | 2303 | 320 | Tiane loose rec | |
| Multiple Dependent = | 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| Large Entity Small Entity | 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | |
| Fee Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$) | 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | |
| 1202 18 2202 9 Claims in excess of 20 | 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | İ |
| 1201 86 2201 43 Independent claims in excess of 3 | 1809 | 770 | 2809 | 385 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1203 290 2203 145 Multiple dependent claim, if not paid | 1810 | 770 | 2810 | 385 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1204 86 2204 43 ** Reissue independent claims over original patent | 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | |
| 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent | 1802 | 900 . | 1802 | 900 | Request for expedited examination of a design application | |
| SUBTOTAL (2) (\$) | | Other fee (specify) | | | | |
| **or number previously paid, if greater; For Reissues, see above | *Reduc | ed by Bas | ic Filing F | ee Paid | SUBTOTAL (3) (\$) 55. | .00 |

| SUBMITTED BY | | | (Complete (i | f applicable)) | |
|----------------------|-------------------|--------------------------------------|--------------|----------------|--------------|
| Name (Print/Type) | Lawrence E. Evans | Registration No. (Attorney/Agent) | 29.531 | Telephone | 314-345-6000 |
| Signature | Jamerel Lowary | | | Date | 9/23/04 |